REMARKS

The Examiner is thanked for the performance of a thorough search. By this response, Claims 1, 6, 8, 16, 30, 36, 38, 41, 43, 51, 71, 116, 127, 130, 139, 145, and 148 have been amended. Claims 4 and 39 have been canceled. Claims 157 and 158 are new. Hence, Claims 1–3, 6–9, 11, 16, 25, 30, 31, 33, 36–38, 41–44, 46, 51, 60, 65, 66, 68, 71–74, 76, 77, 84, 85, 87–89, 98, 101, 116, 117, 119, and 124–158 are pending in this application.

All issues raised in the Office Action are addressed hereinafter.

I. INTERVIEW SUMMARY

In the telephone interview conducted on February 15, 2011 (the "Interview"), Examiner Loftus represented the USPTO. Karl T. Rees and Brian D. Hickman represented the Applicants. The parties discussed general claim concepts with respect to Claims 1 and 116. In particular, Applicants pointed out several distinctions between the claimed subject matter and the subject matter described in the cited references *Mehta* and *Leveridge*. With respect to Claim 116, the Examiner appeared to agree that Claim 116 would be allowable if Applicants were to sufficiently limit the scope the "message" and clarify the fact that the content price was included in the same message as the actual content being delivered to the recipient. Applicants disagreed that the further amendments were necessary, but nonetheless agreed to amend Claim 116 to expedite prosecution. With respect to Claim 1, the Examiner agreed that the amendments filed by Applicants on January 24, 2011 appeared to overcome the cited references, but indicated that further searching would be necessary, and that the amendments would thus require a Request for Continued Examination. No agreement on the allowability of any claim was reached.

II. ADDED CLAIMS / AMENDMENTS

The added claims and amendments to the claims do not add any new matter to this application and are supported by the Specification as originally filed. The amendments to the claims, which may broaden certain aspects of the original claims, improve the readability and clarity of the claims.

III. SUPPORT FOR CLAIM 116

During the Interview, the Examiner requested that Applicants explain how the Specification supported various limitations of Claim 116. Such support is provided by at least Applicants' original claim 11, found on page 22 of the Specification as originally filed. This claim recited "wherein the message is a response from the service provider and includes a price at which the service provider is willing to provide the service."

An example embodiment of the subject matter recited in Applicants' original claim 11 is explained in pages 16–17 of U.S. Provisional Application Serial Number 60/269,699, which the instant application incorporated by reference:

.... On the other hand, the content provider may simply dynamically insert the current fee for accessing particular content into the message header of a message that delivers that particular content.

For example, assume that a content provider charges 5 cents for each stock quote, and the charge is to be billed by the access provider. Rather than maintain data indicating the 5 cent fee in the content provider profile, the content server may insert the fee amount in the header of each message that delivers a stock quote. Consequently, if the content provider decides to increase the fee to 7 cents, the content provider merely changes the data that determines the value inserted into the header. The access provider need not make any change to the content provider profile.

A typical scenario could proceed as follows:

The proxy server intercepts a request for a service provided by a content provider.

The request is forwarded to the content provider.

The content provider replies with a message that, within its header, indicates a particular fee for the service.

The proxy server verifies that the content provider is an approved partner.

The proxy server checks the user profile to determine whether the user has sufficient funds, and whether the user is authorized to make such purchases.

The proxy server sends a message to the user requesting authorization of payment.

The proxy server receives authorization of payment from user.

The proxy server deducts the fee from the user's balance and forwards the content to the user.

IV. CLAIM OBJECTIONS

The Office Action objected to Claims 130 and 148 for informalities. The present amendments fully address the objection. Removal of the objection is respectfully requested.

V. CLAIM REJECTIONS BASED ON 35 U.S.C. § 103

Claims 1-4, 6, 8-9, 11, 16, 31, 33, 36-39, 41, 43-44, 46, 51, 66, 68, 71-74, 77, 84-85, 88-89, 98, 101, 116-117, 119, 124-125, 127, 129-132, 135-143, 145, 147-150 and 153-156 were rejected under 35 U.S.C. § 103(a) as allegedly unpatentable over U.S. Patent Publication 2002/0131404 to Mehta et al. ("Mehta") in view of PCT WO 99/31610 to Leveridge ("Leveridge"). Applicants traverse the rejection. Reconsideration is respectfully requested.

Claims 7, 42, 76, 87, 126, 128, 144 and 146 were rejected under 35 U.S.C. § 103(a) as allegedly being unpatentable over Mehta in view of Leveridge as applied above, further in view of U.S. Patent No. 6,873,691 to Malik ("Malik"). Applicants traverse the rejection.

Reconsideration is respectfully requested.

Claims 25, 60, 133 and 155 were rejected under 35 U.S.C. §103(a) as being unpatentable over Mehta in view of Leveridge as applied above, in view of U.S. Patent No. 5,502,636 to Clarke ("Clarke"). Applicants traverse the rejection. Reconsideration is respectfully requested.

Claims 30, 65, 134 and 152 were rejected under 35 U.S.C. § 103(a) as being unpatentable over Mehta in view of Leveridge as applied above and further in view of U.S. Patent No. 6,704,612 to Hahn-Carlson ("Hahn-Carlson"). Applicants traverse the rejection. Reconsideration is respectfully requested.

INDEPENDENT CLAIM 1

The method of Claim 1, as set forth in the listing of claims, recites among other elements:

based at least on [an] intercepted message, software managed by the third party performing the steps of . . . before the content is delivered to the requestor:

determining whether the requestor is in a first set of users or is in a second set of users, wherein each user in the first set of users is required to preauthorize payment for the content, wherein each user in the second set of users is not required to pre-authorize payment for the content;

in response to the determining that the requestor is in the first set of users, sending the requestor a payment authorization request message;

in response to detecting that the requestor has authorized payment for the content, retransmitting the message to allow the requestor to obtain the content;

Thus, Claim 1 recites a method in which a user may be in one of **two different sets of users**—a set of users that are <u>not</u> required to pre-authorize payment for a particular item of content and a set of users that <u>are</u> required to pre-authorize payment for that same item of content. The cited references fail to teach or suggest such a method.

The Office Action alleges that Leveridge's abstract describes "determining whether [a] requestor is required to pre-authorize payment for . . . content" and "sending the requestor a payment authorization message." However, Leveridge's abstract does not describe "first set of users [that] is required to pre-authorize payment for the content" and a "second set of users [that] is not required to pre-authorize payment for the [same] content." Nor does any other aspect of Leveridge describe the above quoted features. Since those two groups of users do not exist in Leveridge, Leveridge cannot possibly disclose determining to which of those two sets a particular user belongs.

Rather, Leveridge's proxy CGI appears to send "an HTML form displaying the price to be charged [and] requesting confirmation of the order" to all users requesting a particular item of content. Leveridge at 14, lines 3–5. Since Leveridge treats all users the same way with respect to requesting confirmation, Leveridge does not even suggest a method that responds differently depending on which group a particular user belongs to. Claim 1 clearly requires users to be treated differently based on the set of users to which they belong, as set forth in the following express limitations:

in response to the determining that the requestor is in the first set of users, sending the requestor a payment authorization request message; in response to detecting that the requestor has authorized payment for the content, retransmitting the message to allow the requestor to obtain the content;

Thus, there would not be, for any given item of content handled by *Leveridge*'s proxy CGI, a "first set of users [that] is required to pre-authorize payment for the content" and a "second set of users [that] is not required to pre-authorize payment for the [same] content." 50269-0516 (Y00053US02)

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Accordingly, Levereidge cannot teach or suggest "determining whether the requestor is in a first set of users or is in a second set of users" as recited in Claim 1.

The Office Action furthermore admits that *Mehta* does not teach or suggest anything about user pre-authorization of payment. Office Action at 5. For at least the foregoing reasons, then, the combination of *Mehta* and *Leveridge* fails to provide the complete subject matter recited in independent Claim 1. Therefore, the combination of *Mehta* and *Leveridge* would not have rendered Claim 1 obvious under 35 U.S.C. § 103. Reconsideration is respectfully requested.

INDEPENDENT CLAIM 116

Claim 116 recites, among other elements:

intercepting a message that has been sent from a provider to a requestor, prior to said message being received by the requestor;

wherein the message comprises a body and a header;

wherein the message includes a price at which the provider is willing to provide to the requestor content, the content being comprised within the body of the message;

based at least on the intercepted message, software managed by [a] third party . . . :

reading the price from the message;

sending billing data to a billing service, the billing data being based on the price that was extracted from the message . . .

Thus, Claim 116 features a message that comprises both content and a price for the content. The cited references do not teach or suggest such a message.

The Office Action appears to allege that *Mehta* describes such a message in FIG. 11F and FIG. 11G. The Office Action is incorrect. These cited figures show HTML pages that indicate prices for applications. Presumably, the Office Action is equating these web pages with 50269-0516 (Y00053US02) -31messages. However, the prices are for applications that the user may download at a later time via links to other URLs, not for applications **included in the same message** (e.g. in the same HTML page as the depicted prices). Thus, the depicted HTML pages are not "message[s] [that] include[] a price at which the provider is willing to provide to the requestor content [that is] comprised within the body of the message," as recited in Claim 116. Nor does any other message described in *Mehta* appear to comprise both content and a price for the content.

The Office Action also appears to allege that Leveridge describes such a message on page 2. The Office Action is again incorrect. The Office Action appears to be referring to Leveridge's discussion of another system described in WO 97/03410. This other system is described as having been capable of extracting transaction information from communication between a customer and vendor. Yet, there is no evidence that the messages from which this system extracted transaction information would have included anything other than the transaction information. For example, there is no evidence that the message from which the transaction information is extracted would have included the actual content being purchased. Accordingly, this system described on page 2 of Leveridge does not describe "a message [that] includes a price at which the provider is willing to provide to the requestor content [that is] comprised within the body of the message," as recited in Claim 116.

Nor does *Leveridge* appear to describe any other message that is similar to the message recited in Claim 116. For example, *Leveridge* describes a message that includes a price code. *Leveridge* at 11–12. However this message is a HTTP 402 error message, and does not include the actual content being billed. *Id.* As another example, *Leveridge* describes a price code embedded in an image tag within HTML content. *Leveridge* at 17, lines 3–5. However, like the prices in the above-discussed HTML pages of *Mehta*'s FIG. 11F and FIG. 11G, this image 50269-0516 (Y00053US02)

merely indicates to the user the price the user would have to pay to download other content, not the price of the HTML page in which the price code is embedded. *Id*.

For at least the foregoing reasons, then, the combination of *Mehta* and *Leveridge* fails to provide the complete subject matter recited in independent Claim 116. Therefore, the combination of *Mehta* and *Leveridge* would not have rendered Claim 116 obvious under 35 U.S.C. § 103. Reconsideration is respectfully requested.

REMAINING CLAIMS

Each of the remaining claims not discussed above recites or depends from a claim that recites at least one of the above-discussed features. As discussed above, the combination of *Mehta* and *Leveridge* fails to teach or suggest the above-discussed features. The remaining cited references also do not appear to teach, and are not alleged to teach, the above-discussed features. Consequently, no combination of *Mehta* and *Leveridge* with the other cited reference(s) teaches or suggests the complete subject matter of the remaining claims.

Moreover, the remaining pending claims include additional elements that the cited references also do not teach or suggest. However, to expedite prosecution, arguments concerning these additional elements are not provided at this time. Applicants reserve the right to further point out the differences between the cited art and these additional novel elements.

VI. CONCLUSION

For the reasons set forth above, all of the pending claims are now in condition for allowance. The Examiner is respectfully requested to contact Applicants' representative by telephone relating to any issue that would advance examination.

Application of Pei-Yuan Zhou et al; No. 10/078,051Filed February 15, 2002 Reply to Office Action

A petition for extension of time, to the extent necessary to make this reply timely filed, is hereby made. If applicable, a check for the petition for extension of time fee and other applicable fees is enclosed herewith. If any applicable fee is missing or insufficient, throughout the pendency of this application, the Commissioner is hereby authorized to charge any applicable fees and to credit any overpayments to our Deposit Account No. 50-1302.

Respectfully submitted, HICKMAN PALERMO TRUONG & BECKER LLP

Date: February 23, 2011 /KarlTRees#58983/

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